

GENERAL SUBMISSION FORM

Firm Name:

QFC Number:

Firm type:

Authorised Firm

Licensed Firm

Please provide a brief
description of the
attached submission:

(Attach additional pages if required.)

FOR OFFICIAL USE

Reference No.:

Date received:

Received by:

Form Q14-V4-NOV14



PURPOSE OF THIS FORM

- Form Q14 'General Submission Form' is to be used by a person to fulfil an obligation to make any submission, not specifically covered by another form, to the *QFC Regulatory Authority*; the *CRO*; or the *QFC Authority*.
- The documents to which this form relates should be clearly labelled and securely attached.

NOTES FOR LODGING THIS FORM

The method of lodgement of this form is:

- For the *CRO* and the *QFC Authority* this form and any supporting *Documents* must be filed in original only, to the relevant addresses shown:

FOR THE CRO:

Companies
Registration Office,
QFC Tower 1,
PO Box 23245,
West Bay, Doha, Qatar
T: +974 4496 7738
F: +974 4496 7752
E: cro@qfc.qa

FOR THE QFC AUTHORITY:

QFC Authority,
QFC Tower 1,
PO Box 23245,
West Bay, Doha, Qatar
T: +974 4496 7764
F: +974 4496 7752
E: licensing@qfc.qa

- For the *QFC Regulatory Authority* the completed form must be submitted using the *QFC Regulatory Authority's* Electronic Submission System ("ESS").
- For details of who can complete and submit the form guidance is provided on ESS.

Defined terms are identified throughout this form by the capitalisation and italicisation of the initial letter of the word or phrase and are as defined in the applicable *Regulations*.

The Firm must lodge this form and any applicable supporting documents and fee with the correct recipient within the prescribed time limit. Any failure to do so may result in a breach of the applicable *Regulations* and *Rules*.

You must ensure that any other requirement(s) to make a notification(s) to the *QFC Regulatory Authority*; the *QFC Authority* or the *CRO* is made on the correct form(s) and within the prescribed time limit.

The information contained in this lodged form will be used as the basis upon which the applicable registers and databases maintained by the *QFC Regulatory Authority*, *QFC Authority* and *CRO* are updated, subject to applicable *QFC* legislation.

The information contained in any lodged form may be shared between the *QFC Regulatory Authority*, the *QFC Authority* and the *CRO* under arrangement between them, and in accordance with applicable *QFC* confidentiality and data protection legislation.

DECLARATION

I declare that, to the best of my knowledge and belief, having made due enquiry, the information given in this form is complete and correct. I understand that it is a contravention of *QFC* legislation to knowingly or recklessly provide to the *QFC Regulatory Authority*, the *CRO* and the *QFC Authority* any information, which is false, misleading or deceptive, or to conceal information where the concealment of such information is likely to mislead or deceive.

For the purposes of the Data Protection Regulations, the *Personal Data* provided in this form will be processed by the *QFC Regulatory Authority*, the *CRO* and the *QFC Authority* in accordance with Article 8 of the Data Protection Regulations and by signing this form, I consent to the recipient sharing the information contained within this form with the *QFC Regulatory Authority*, the *QFC Authority* or the *CRO*.

I confirm that I have the authority to make this filing and to sign this form for the *LLC/LLP/Branch*.

Print Name:

Signature:

Position:

Date:

QATAR FINANCIAL CENTRE (QFC)

P0 Box 23245, Doha, Qatar • T: +974 4496 7777 • F: +974 4496 7676 • info@qfc.qa • www.qfc.qa

